



TPD

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/726,247
	Filing Date	December 2, 2003
	First Named Inventor	John B. Amundson
	Art Unit	Unknown
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	H0005441-9950 (1161.1137101)

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	8 Non-U.S. Patent References
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Return Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

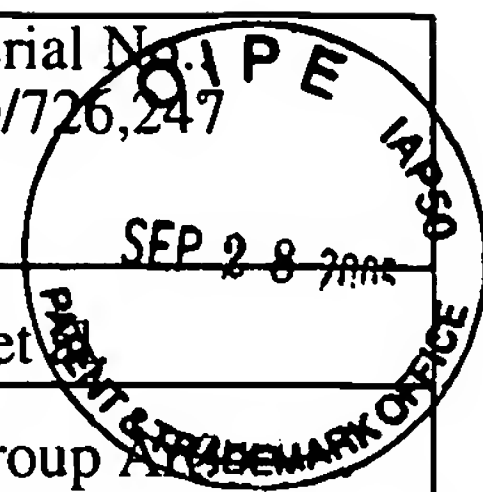
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Crompton, Seager & Tufte		
Signature			
Printed name	Brian N. Tufte		
Date	September 26, 2005	Reg. No.	38,638

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Lynn Thompson	Date	September 26, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT Page 1 of 2	Atty. Docket No.: H0005441-9950 (1161.1137101)		Serial No.: 10/726,247	
	Applicant: John B. Amundson et al. Filing Date: December 2, 2003			
				Group:
				unknown
LIST OF PATENTS AND PUBLICATIONS				



U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
	2001/0042684	11/2001	Essalik et al.	
	2001/0052459	12/2001	Essalik et al.	
	2002/0092779	07/2002	Essalik et al.	
	2003/0150926	02/2002	Rosen	
	2003/0150927	11/2002	Rosen	
	2004/0074978	09/2003	Rosen	
	5,947,372	09/1999	Tiernan	
	6,290,140	09/2001	Pesko et al.	
	6,398,118	06/2002	Rosen et al.	
	6,578,770	06/2003	Rosen	
	6,580,950	06/2003	Johnson et al.	
	6,786,421	09/2004	Rosen	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation Yes No
	EP0678204	03/2000	EP	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	Prolifix Inc., "Web Enabled IP Thermostats," 2 pages, prior to filing date of present application.
	ADT Security Systems, "iCenter Advanced User Interface 8142ADT User Guide," pp 1-136, 2001.
	DeKoven et al., "Measuring Task Models in Designing Intelligent Products," pp 188-189, 2002.

EXAMINER:	DATE CONSIDERED:
-----------	------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT Page 2 of 2	Atty. Docket No.: H0005441-9950 (1161.1137101)		Serial No.: 10/726,247
	Applicant: John B. Amundson et al.		
LIST OF PATENTS AND PUBLICATIONS	Filing Date:		Group Art:
	December 2, 2003		unknown

		http://www.hometoys.com/htinews/apr99/releases/hal01.htm , HTI News Release, pp 1-3, printed October 28, 2004.
		U.S. Patent Application Serial No. 10/440,474, filed May 15, 2003, entitled "Reverse Images in a Dot Matrix LCD for an Environmental Control Device."
		U.S. Patent Application Serial No. 10/654,230, filed September 3, 2003, entitled "Programmable Thermostat Incorporating a Liquid Crystal Display and Having a Feature for Mounting Horizontally, Vertically and any Intermediate Orientation."
		U.S. Patent Application Serial No. 10/654,235, filed September 3, 2003, entitled "Programmable Thermostat Incorporating a Liquid Crystal Display Selectively Presenting Adaptable System Menus Including Changeable Interactive Virtual Buttons."

EXAMINER:	DATE CONSIDERED:
-----------	------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.